FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: **September 5, 2001**	ATTY DOCKET NO. **1263.1608**	APPLICATION NO. **09/898,776**
	APPLICANT **YUAN SHAO**	
	FILING DATE **July 3, 2001**	GROUP **Unassigned**

SEP 0 5 2001

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
JW	**0 533 338**	**3/24/93**	**EPO**			
MO	**0 335 739**	**10/4/89**	**EPO**			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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GMJ/smj

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11/15/04

ATTY DOCKET NO.	APPLICATION NO.
263.1608	09/898,776

APPLICANT		
YUAN SHAO		
FILING DATE		GROUP
July 3, 2001		**Unassigned**

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MO	5,559,925	9/24/96	**AUSTIN**	**395**	**2.4**	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

MO	**"Correcting Recognition Errors Via Discriminative Utterance Verification", Setlur, et al., Lucent Technologies, Naperville, IL, pp. 602-605**
MO	**"A Probablistic Approach To Confidence Estimation and Evaluation", Gillick, et al., Dragon Systems, Inc., Newton, MA,**
MO	**"Neural - Network Based Measures of Confidence For Word Recognition", Weintraub, et al., Speech Technology and Research Laboratory, IEEE, 1997, pp. 887-890**
MO	**"Detecting Misrecognition and Out-of-Vocabulary Words", IEEE 1994 Young, School of Computer Science, Carneigie Mellon University, pp. 21-24**
MO	**"Estimating Confidence Using Word Lattices, Kemp, et al., ESCA, Eurospeech 97, Interactive Systems Laboratories, University of Karlsruhe, Germany, pp 827-830**
MO	**"Using Word Probabilities As Confidence Measures", Wessel, et al., Lehrstuhl fur Informatik VI, RWTH Aachen - University of Technology, Germany, pp. 225-228**

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Sheet___1___ of ___1___

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U.S. DEPARTMENT OF COMMERCE			**ATTY DOCKET NO.** 1263.1608		**APPLICATION NO.** UNASSIGNED	
PATENT AND TRADEMARK OFFICE			**APPLICANT**			
LIST OF REFERENCES CITED BY APPLICANT(S)			**YUAN SHAO**			
(Use several sheets if necessary)			**FILING DATE** July 3, 2001		**GROUP** Unassigned	
Date Submitted to PTO: **July 3, 2001**						

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/MO	5,613,037	3/18/97	SUKKAR	395	2.65	
/	5,710,864	1/20/98	JUANG, ET AL.	395	2.47	
/U	5,737,489	4/7/98	CHOU, ET AL.	395	2.65	
/M)	5,842,163	11/24/98	WEINTRAUB	704	240	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
/MV	0 789 349 A2	8/13/97	EPO			

OTHER DOCUMENT(S) *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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M.M. Cposaui

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